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# NOTICE OF ALLOWANCE AND FEE(S) DUE

THE WEBB LAW FIRM, P.C. ONE GATEWAY CENTER 420 FT. DUQUESNE BLVD, SUITE 1200 PITTSBURGH, PA 15222 EXAMINER

JETTON, CHRISTOPHER M

ART UNIT PAPER NUMBER

3748

DATE MAILED: 08/08/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/593,000      | 05/09/2007  | Orhan Ustun          | 0115-062668         | 7851             |

TITLE OF INVENTION: DEVICE FOR THE STORAGE OF HEAT ENERGY FOR SUBSEQUENT CONVERSION INTO ELECTRICAL ENERGY

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 11/08/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

| maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)   |   |   |  | v correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for  Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must   |  |                     |   |   |       |
|--|---|---|--|--|--|---------------------|---|---|-------|
| THE WEBB LAW FIRM, P.C. ONE GATEWAY CENTER 420 FT. DUQUESNE BLVD, SUITE 1200 PITTSBURGH, PA 15222  |   |   | I<br>S   | have its own certificate of mailing or transmission.  Certificate of Mailing or Trans I hereby certify that this Fee(s) Transmittal is being States Postal Service with sufficient postage for fir addressed to the Mail Stop ISSUE FEE address transmitted to the USPTO (571) 273-2885, on the definition of the description |  |                     |   | smission  g deposited with the United st class mail in an envelope above or being facsimile |       |
| •  |   |   |  |  |  |                     |   | (Depositor's n  | ame)  |
|  |   |   |  |  |  |                     |   | (Signa  | -     |
|  |   |   | L  |  |  |                     |   | (1  | Date) |
| APPLICATION NO.  | FILING DATE   |   | FIRST NAMED INVENT   | OR   |  | ATTO                | RNEY DOCKET NO.                                   | CONFIRMATION NO   |       |
| 10/593,000   | 05/09/2007  |   | Orhan Ustun  |  |  |                     | 0115-062668                                       | 7851  | _     |
|  |   | TORAGE OF HEAT ENE  | `  |  |  |                     |   | _   |       |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FEE DUE   | PUBLICATION FEE DU   | UE F   | PREV. PAID ISSUE   | FEE                 | TOTAL FEE(S) DUE                                  | DATE DUE  |       |
| nonprovisional   | YES   | \$755 ART UNIT  | \$300<br>CLASS-SUBCLASS  | $\neg$   | \$0  |                     | \$1055  | 11/08/2011  |       |
| JETTON, CHR  |   | 3748  | 060-616000   |  |  |                     |   |   |       |
| Tee Address" ind PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSIGNEE NAME OF ASSIGN | condence address (or Chab/122) attached. lication (or "Fee Address )2 or more recent) attached. IND RESIDENCE DATA less an assignee is ident the in 37 CFR 3.11. Comp | inge of Correspondence  | data will appear on th<br>T a substitute for filing<br>(B) RESIDENCE: (C.  | p to 3 natively ingle for age attorned be pr r type r type an ass  | registered patently, firm (having as a ent) and the name eys or agents. If rinted.  output  ou | membes of upon name | er a 2er a 2er to to e is 3entified below, the do | cument has been filed   |       |
| 4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies  |   |   | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |  |  |                     |   |   |       |
| 5. Change in Entity Sta  | i <b>tus</b> (from status indicate<br>is SMALL ENTITY stati   | *   | ☐ b. Applicant is no   | longe  | r claiming SMAI  | I ENT               | TITV status See 37 CF                             | P 1 27(a)(2)  |       |
| NOTE: The Issue Fee an   | d Publication Fee (if rea   | uired) will not be accepte<br>tes Patent and Trademark  | ed from anyone other tha   |  |  |                     |   | 10, 1,  | ty in |
| Authorized Signature   |   |   |  |  | Date   |                     |   |   |       |
| Typed or printed nam   |   |   | Registration N   | o  |  |                     |   |   |       |
| an application. Confiden   | tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC   | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>NOT SEND FEES OR ( | 1.14. This collection is depending upon the ir   | estin<br>divid   | nated to take 12 r   | ninutes<br>mment    | to complete, including on the amount of time      | g gathering, preparing,   | and   |

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|-----------------|------------------------------|-----------------------|---------------------|------------------|--|
| 10/593,000      | 05/09/2007                   | Orhan Ustun           | 0115-062668         | 7851             |  |
| 28289 75        | 90 08/08/2011                |                       | EXAM                | INER             |  |
| THE WEBB LAY    | ,                            | JETTON, CHRISTOPHER M |                     |                  |  |
| ONE GATEWAY     | CENTER<br>NE BLVD, SUITE 120 | n                     | ART UNIT            | PAPER NUMBER     |  |
| PITTSBURGH, PA  |                              | 3748                  |                     |                  |  |

DATE MAILED: 08/08/2011

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 124 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 124 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application I   | No.  | Applicant(s)  |                           |  |
|--|---|--|---|---------------------------|--|
|  | 10/593,000  |  | USTUN, ORHAN  |                           |  |
| Notice of Allowability   | Examiner  |  | Art Unit  |                           |  |
|  | <br>  CHRISTOPH   | EB JETTON  | 3748  |                           |  |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313                        | (OR REMAINS<br>or other approp<br>IGHTS. This appropriate and MPEP 13 | <ol> <li>CLOSED in this appriate communication pplication is subject</li> <li>8</li> </ol> | pplication. If not includ<br>on will be mailed in due | ed<br>course. <b>THIS</b> |  |
| 2. ⊠ The allowed claim(s) is/are <u>9 and 33</u> .   |   |  |   |                           |  |
| <ul> <li>3. Acknowledgment is made of a claim for foreign priority unally and All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents</li> </ul> | e been received<br>e been received                                    | d.<br>d in Application No  |   | tion from the             |  |
| International Bureau (PCT Rule 17.2(a)).   |   |  |   |                           |  |
| * Certified copies not received:   |   |  |   |                           |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm  | IENT of this ap   | plication.   |   |                           |  |
| INFORMAL PATENT APPLICATION (PTO-152) which give   | ` '   | •  | ration is deficient.                                  |                           |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus   |   |  |   |                           |  |
| (a) including changes required by the Notice of Draftspers   |   | awing Review (PTC  | 0-948) attached                                       |                           |  |
| 1)  hereto or 2)  to Paper No./Mail Date   |   |  |   |                           |  |
| <ul><li>(b) ☐ including changes required by the attached Examiner's<br/>Paper No./Mail Date</li></ul>  |   |  |   |                           |  |
| Identifying indicia such as the application number (see 37 CFR 1, each sheet. Replacement sheet(s) should be labeled as such in t  |   |  |   | e back) of                |  |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depo-<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>  |   |  |   | Note the                  |  |
|  |   |  |   |                           |  |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892)  | 5 🗆   | Notice of Informal   | Patent Application                                    |                           |  |
| 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)   |   | Interview Summar   |   |                           |  |
| 3. ☐ Information Disclosure Statements (PTO/SB/08),  |   | Paper No./Mail Da Examiner's Ameno   | atè <u>7/28/2011</u> .                                |                           |  |
| Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit  | 8. 🗆  | ] Examiner's Statem  | nent of Reasons for Allo                              | owance                    |  |
| of Biological Material   | 9.  | Other  |   |                           |  |
| /CHRISTOPHER JETTON/   |   | enneth Bomberg/  |   |                           |  |
| Examiner, Art Unit 3748  | Sup   | ervisory Patent Ex   | xaminer, Art Unit 374                                 | -8                        |  |